

# **CONTINUED PROSECUTION APPLICATION (CPA) REQUEST TRANSMITTAL**

Submit an original, and a duplicate for fee processing.

CHECK BOX, if applicable:

(Only for Continuation or Divisional application under 37 CFR 1.53(d))

☐ **DUPLICATE**

Address to:

**Assistant Commissioner for  
Patents  
Box CPA  
Washington, DC 20231**

Attorney docket No.

P54508 / CPA

First Named Inventor

HAE-SEUNG LEE

Examiner Name

PORTKA, GARY J.

Group/Art Unit

2187

Express Mail Label No.

This is a request for a ☒ continuation or ☐ divisional application under 37 CFR 1.53(d),  
(continued prosecution application (CPA)) of prior application number 08/931,125,  
filed on 16 September 1997, entitled: MEMORY SYSTEM FOR IMPROVING DATA INPUT/OUTPUT  
PERFORMANCE AND METHOD OF CACHING DATA RECOVERY INFORMATION.

## **NOTE**

**FILING QUALIFICATIONS:** The prior application identified above must be a nonprovisional application that is either: (1) complete as defined by 37 CFR 1.51(b), or (2) the national stage of an international application in compliance with 35 U.S.C. 371.

A Notice will be placed on a patent issuing from a CPA, except for reissues and designs, to the effect that the patent issued on a CPA and is subject to the twenty-year patent term provisions of 35 U.S.C. § 154(a)(2). Therefore, the prior application of a CPA may have been filed before, on or after June 8, 1995.

**C-I-P NOT PERMITTED:** A continuation-in-part application cannot be filed as a CPA under 37 CFR 1.53(d), but must be filed under 37 CFR 1.53(b).

**EXPRESS ABANDONMENT OF PRIOR APPLICATION:** The filing of this CPA is a request to expressly abandon the prior application as of the filing date of the request for a CPA. 37 CFR 1.53(b) must be used to file a continuation, divisional, or continuation-in-part of an application that is not to be abandoned.

**ACCESS TO PRIOR APPLICATION:** The filing of this CPA will be construed to include a waiver of confidentiality by the applicant under 35 U.S.C. 122 to the extent that any member of the public who is entitled under the provisions of 37 C.F.R. §1.14 to access to, copies of, or information concerning, the prior application may be given similar access to, copies of, or similar information concerning, the other application or applications in the file jacket.

**35 U.S.C. 120 STATEMENT:** In a CPA, no reference to the prior application is needed in the first sentence of the specification and none should be submitted. If a sentence referencing the prior application is submitted, it will not be entered. A request for a CPA is the specific reference required by 35 U.S.C. 120 and to every application assigned the application number identified in such request, 37 CFR 1.78(a).

**WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

1. ☐ Enter the unentered amendment previously filed on \_\_\_\_\_ under 37 CFR 1.116 in the prior nonprovisional application.
2. ☒ A preliminary amendment is enclosed.
3. This application is filed by fewer than all the inventors named in the prior application, 37 CFR 1.53(d)(4).
  - a. ☐ **DELETE** the following inventor(s) named in the prior nonprovisional application:  
\_\_\_\_\_
  - b. ☐ The inventor(s) to be deleted are set forth on a separate sheet attached hereto.
4. ☐ A new power of attorney or authorization of agent (PTO/SB/81) is enclosed.
5. Information Disclosure Statement (IDS) is enclosed:
  - a. ☐ PTO-1449
  - b. ☐ Copies of IDS Citations

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number

CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
TOTAL CLAIMS (37 CFR 1.16(c) or (j))	8	- 20* =		× \$ _____ =	\$ .00
INDEPENDENT CLAIMS (37 CFR 1.16(b) or (i))	3	- 3* =		× \$ _____ =	\$ .00
MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.16(d))				× \$ _____ =	
				BASIC FEE (37 CFR 1.16(a))	\$710.00
Total of above Calculations =					\$ .00
Reduction by 50% for filing by small entity (Note 37 CFR §§1.9, 1.27, 1.28).					
TOTAL =					\$710.00

\* Reissue claims in excess of 20 and over original patent.  
 \*\* Reissue independent claims over original patent.

6. ☐ Small entity status: Applicant claims small entity status. See 37 CFR 1.27.
7. The Commissioner is hereby authorized to credit overpayments or charge the following fees to Deposit Account No. **02-4943**:
- a. ☒ Fees required under 37 C.F.R. §1.16.  
 b. ☐ Fees required under 37 C.F.R. §1.17.  
 c. ☐ Fees required under 37 C.F.R. §1.18.
8. ☒ A check in the amount of \$ 710.00 is enclosed (#39332).
9. ☐ Payment by credit card. Form PTO-2038 is attached.
10. ☐ Applicant requests suspension of action under 37 CFR 1.103(b) (fee under 37 CFR 1.17(i) enclosed).
11. ☐ New Attorney Docket Number, if desired \_\_\_\_\_
12. a. ☐ Receipt For Facsimile Transmitted CPA (PTO/SB/29A)  
 b. ☒ Return Receipt Postcard (Should be specifically itemized, See MPEP 503)
13. ☒ Other: A Petition for one-month extension of time and the fee of \$110.00 (check #39333) are attached.

**NOTE:** The prior application's correspondence address will carry over to this CPA UNLESS a new correspondence address is provided below

10. NEW CORRESPONDENCE ADDRESS					
<input type="checkbox"/> Customer Number or Bar Code Label		(Insert Customer No. Or Attach bar code label here)		Or <input type="checkbox"/> New correspondence address below	
NAME					
ADDRESS					
CITY		STATE	ZIP CODE		
COUNTRY	TELEPHONE		FAX		

11. SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED	
NAME (Print/Type)	Robert E. Bushnell, Esq.
SIGNATURE	
Registration No. (Attorney/Agent)	Reg. No. 27,774
DATE	27 March 2001